PTO/SB/21 (09-04)
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TRANSM	Application Number	:1			
FORM		Filing Date		August 22	·
		First Named Inven	tor	Lloyd Harmon Hancock et al.	
		Art Unit		1731	
(to be used for all correspond	dence after initial filing)	Examiner Name		Unknown	
Total Number of Pages in This	s Submission	Attorney Docket N	umber	00568-286	5924
	ENCL	OSURES (check all tha	t apply)		
Fee Transmittal Form	☐ Drawing	i(s)		After Alle	owance Communication to TC
Fee Attached	Licensin	g-related Papers			Communication to Board als and Interferences
Amendment / Reply	Petition				Communication to TC Notice, Brief, Reply Brief)
After Final	Provision	to Convert to a anal Application		Proprieta	ary Information
Affidavits/declaration	of Attorney, Revocation of Correspondence Add	ress	Status L	etter	
Extension of Time Request		I Disclaimer	Disclaimer		nclosure(s) dentify below):
Express Abandonment Request					
Supplemental Information		mber of CD(s)andscape Table on CD			
Certified Copy of Priority Remarks		ail Certificate EV 463 36	:2 571 US;	PTO/SB/08A	t; 48 References; and Return
	SIGNATURE OF	APPLICANT, ATTO	RNEY, OI	R AGENT	
Firm Kilpatrick Sto					
Signature J. Michael		rel Boggs			
Printed Name J. Michael B.		Boggs	oggs		
Date 10 /4/0		05	Reg. No.	46,563	
CERTIFICATE OF TRANSMISSION/MAILING					
	age as first class mail	in an envelope addres			with the United States Postal for Patents, P.O. Box 1450,
Signature					
Typed or printed name				Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



0-5-05

EV 463 362 571 US

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Lloyd Harmon Hancock et al.

Serial No

10/645,996

Filed

August 22, 2003

For

Equipment and Methods for Manufacturing Cigarettes

Examiner

Unknown

Art Unit

1731

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

: Alexandria, VA 22313-1450

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO/SB/08A a listing of 48 documents known to the Applicants and/or their attorney. Applicants respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to appropriate action to establish patentability over the listed document should they be applied as references against the claims of the present application, or to establish that such references are not prior art. Copies of the references listed on the attached form is submitted herewith.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is being submitted prior to the mailing of a first substantive Office Action in this application, and, therefore, no certification or fee is required (37 C.F.R. § 1.97b(3)). However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date:	10	14	05

J. Michael Boggs Reg. No. 46,563

KILPATRICK STOCKTON LLP 1001 West Fourth Street Winston-Salem, North Carolina 27101-2400 (336) 747-7536 (Phone) (336) 734-2632 (Facsimile)

00568-286924 WINLIB01:1140234.1



## **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label No. EV 463 362 571 US

Serial No. 10/645,996

Applicant(s) Lloyd Harmon Hancock et al.

Filing Date August 22, 2003

Title Equipment and Methods for Manufacturing Cigarettes

Examiner Unknown

Group Art Unit 1731

Type of Document(s) Express Mail Certificate;

Transmittal Form;

Supplemental Information Disclosure Statement

(in duplicate);

PTO/SB/08A; 48 References; and Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and are addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Debbie K. Cooke

Date Mailed: October 4, 2005

00568-286924 WINLIB01:1140232.1

PTO/SB/08a (08-03)

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NFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Application Number 10/645,996

Filing Date August 22, 2003

First Named Inventor Lloyd Harmon Hancock et al.

Art Unit 1731

Examiner Name Unknown

Complete if Known

(Use as many sheets as necessary)

 Sheet
 1
 of
 2
 Attorney Docket Number
 00568-286924

	U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
THE COLO		Number - Kind Code <sup>2</sup> (if known)			Fassages of Relevant		
	1.	US- 1,581,451	04/20/1926	Knapp			
	2.	US- 2,013,508	09/03/1935	Seaman			
	3.	US- 2,307,088	01/05/1943	Whiteley			
	4.	US- 2,335,432	11/30/1943	Millett			
	5.	US- 2,666,437	01/19/1954	Lattof			
	6.	US- 2,718,889	09/27/1955	Claussen			
	7.	US- 2,998,012	08/29/1961	Lamm			
	8.	US- 3,030,963	04/24/1962	Cohn			
	9.	US- 3,370,593	02/27/1968	Owaki			
	10.	US- 3,409,021	11/05/1968	Owaki			
	11.	US- 3,477,440	11/11/1969	Licis			
	12.	US- 3,633,589	01/11/1972	Kahane et al.			
	13.	US- 3,903,899	09/09/1975	Musillo			
	14.	US- 4,061,147	12/06/1977	Falchi			
	15.	US- 4,077,414	03/07/1978	Baker et al.			
	16.	US- 4,239,591	12/16/1980	Blake			
	17.	US- 4,450,847	05/29/1984	Owens			
	18.	US- 4,452,259	06/05/1984	Norman et al.			
	19.	US- 4,453,553	06/12/1984	Cohn			
	20.	US- 4,480,650	11/06/1984	Weinert			
	21.	US- 4,489,738	12/25/1984	Simon			
	22.	US- 4,615,345	10/07/1986	Durocher			
	23.	US- 4,622,983	11/18/1986	Mathews et al.			
, ,,,,,,,,	24.	US- 4,739,775	04/26/1988	Hampl, Jr.			
	25.	US- 4,998,542	03/12/1991	Kallianos et al.			
	26.	US- 5,060,675	10/29/1991	Milford et al.			
***	27.	US- 5,263,500	11/23/1993	Baldwin et al.			
	28.	US- 5,263,999	11/23/1993	Baldwin et al.	-		
	29.	US- 5,417,228	05/23/1995	Baldwin et al.			
***************************************	30.	US- 5,474,095	12/12/1995	Allen et al.	·		

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO Complete if Known Application Number 10/645,996 INFORMATION DISCLOSURE Filing Date August 22, 2003 STATEMENT BY APPLICANT First Named Inventor Lloyd Harmon Hancock et al. Art Unit 1731 (Use as many sheets as necessary) Examiner Name Unknown of 2 Sheet 00568-286924 Attorney Docket Number

	31.	US- 5,878,753	03/09/1999	Peterson et al.	T
	32.	US- 5,878,754	03/09/1999	Peterson et al.	
	33.	US- 6,129,087	10/10/2000	Wallace et al.	
	34.	US- 6,543,457 B2	04/08/2003	Maiwald et al.	
	35.	US- 6,705,325 B1	03/16/2004	Hicks et al.	1
	36.	US- 6,837,248 B2	01/04/2005	Zawadzki et al.	
,	37.	US- 6,848,449 B2	02/01/2005	Kitao et al.	
	38.	US- 6,854,469 B1	02/15/2005	Hancock et al.	
	39.	US- 6,883,524 B2	04/26/2005	Ishikawa et al.	
	40.	US- 6,904,917 B2	06/14/2005	Kitao et al.	
	41.	US- 2002/0129824 A1	09/19/2002	Hammersmith et al.	
	42.	US- 2003/0037792 A1	02/27/2003	Snaidr et al.	
	43.	US- 2004/0123874 A1	07/01/2004	Zawadzki et al.	
	44.	US- 2004/0134631 A1	07/15/2004	Crooks et al.	
	45.	US- 2004/0261805 A1	12/30/2004	Wanna et al.	

	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
Initials*			Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
_	46.	GB 1 378 169	12/27/1974	Hauni-Werke Korber & Co., K. G.				
	47.	WO 2004/089120 A1	10/21/2004	Japan Tobacco Inc.				
	48.	WO 2004/095957 A3	11/11/2004	British American Tobacco (Investments) Limited				

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any companies on the amount of time you require to complete application for reducing this burden, should be sent to the Chief case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.